

PATENT

Atty. Docket No. 3414

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hajime Matsuzaki et al.  
Serial No: 09/916,135  
Filing Date: 07/25/2001  
Title: COMPLEXITY  
MANAGEMENT OF GENOMIC  
DNA

Examiner: Layman

Group Art Unit:

RESPONSE TO  
NOTICE OF MISSING PARTS

Commissioner for Patents  
Washington, D.C. 20231

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OCT 16 2001

Sir:

PETITIONS OFFICE

In response to the Notice of Missing Parts mailed 09/19/2001, Applicants submit herewith an executed Declaration. Applicant further authorizes the Commissioner to charge the required surcharge in the amount of \$130.00 to the undersigned's Deposit Account No. 01-0431. Please charge any additional fees or credit any overpayment to the above Deposit Account. A duplicate copy of this sheet is enclosed for accounting purposes.

A copy of the Notice of Missing Parts is enclosed herewith.

10/23/2001 09:11:57 00000013 010431 09916135  
01 00-122 130.00 CN

Respectfully submitted,

16 October, 2001



Wei Zhou

Reg. No. 44,419

Legal Department  
Affymetrix, Inc.  
3380 Central Expressway  
Santa Clara, CA 95051  
Tel: 408/731-5699  
Fax: 408/731-5392

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Sandra Wells

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PTO/SB/21 (08-00)

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FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

10

Application Number

09/916,135

Filing Date

07/25/2001

First Named Inventor

Matsuzaki et al.

Group Art Unit

Examiner Name

Laymon

Attorney Docket Number

3414

**ENCLOSURES (check all that apply)**☒ Fee Transmittal Form☐ Fee Attached☐ Amendment / Response☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☐ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☒ Response to Missing Parts/  
Incomplete Application☒ Response to Missing  
Parts under 37 CFR  
1.52 or 1.53☐ Assignment Papers  
(for an Application)☐ Drawing(s)☐ Licensing-related Papers☐ Petition☐ Petition to Convert to a  
Provisional Application☐ Power of Attorney, Revocation  
Change of Correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s)

Remarks

☐ After Allowance Communication to  
Group☐ Appeal Communication to Board of  
Appeals and Interferences☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s)  
(please identify below):Declaration for Utility Patent  
Application.

Copy of Notice of Missing Parts

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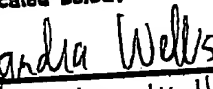
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**f r FY 2001**

Patent fees are subject to annual revision.

**Complete If Known**

Application Number 09/916,135  
 Filing Date 07/25/2001  
 First Named Inventor ~~Robert~~ Matsuzaki et al.  
 Examiner Name ~~ISA~~ W. Laymon  
 Group / Art Unit TBA  
 Attorney Docket No. 3414

OCT 16 2001

PETITIONS OFFICE

**TOTAL AMOUNT OF PAYMENT (\$)** 130**METHOD OF PAYMENT (check one)**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

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01-0431

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Anymatrix, Inc.

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- Charge Any Additional Fee Required
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- Under 37 CFR 1.16 and 1.17

- ☐
- Applicant claims small entity status.
- 
- See 37 CFR 1.27

- 2.
- ☐
- Payment Enclosed:

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- Check:
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- Order
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- Other

**FEE CALCULATION****1. BASIC FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

**SUBTOTAL (1)**

(\$ 0)

**2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
20	0	18	0
Independent Claims	3	80	0
Multiple Dependent			0

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	60	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	60	209	40	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)**

(\$ 0)

\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	130
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	800	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	130	123	130	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Sheet	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)**

(\$ 130)

**SUBMITTED BY****Complete (if applicable)**

Name (Print/Type)

Wei Zhou

Registration No. Attorney/Agent

44,418

Telephone

Tel: 408/731-5599

Signature

Date

October 16, 2001

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WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09,916,135	07/25/2001	Xing Su	3414

22886

AFFYMETRIX, INC  
ATTN: CHIEF IP COUNSEL, LEGAL DEPT.  
3380 CENTRAL EXPRESSWAY  
SANTA CLARA, CA 95051

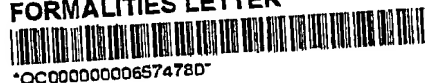
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PETITIONS OFFICE

CONFIRMATION NO. 8220

FORMALITIES LETTER



\*OC00000006574780\*

Date Mailed: 09/19/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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Sandra Wells

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Date: 10/16/2001

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CONNECTION ID	
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USAGE TIME	03'42"
PGS.	11
RESULT	OK



**FAX**

Affymetrix, Inc.  
3380 Central Expressway  
Santa Clara, CA 95051  
(408) 731-5000

---

**DATE:** October 16, 2001

**FAX:** (703) 308-6916

**TO:** Examiner Laymon

**PHONE:** (703) 306-5685

**FROM:** Sandra Wells  
Affymetrix, Inc.  
Phone: (408) 731-5768  
Fax: (408) 731-5392

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**OCT 16 2001**

**RE:** USSN: 09/916,135  
Applicant: Matsuzaki et al.  
Filing date: 25 July 2001  
Examiner:

**PETITIONS OFFICE**

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**NUMBER OF PAGES INCLUDING THIS COVER: 11**

Examiner Laymon,

Please find transmitted herewith the response to notice of missing parts for the above referenced application.

Thanks,

Sandra Wells

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